

Grants Workgroup Contact Information

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Grants Information Sharing Website:

*Grants information
and grant job aids
posted on this
website: Click [here](#).*

Grants Related Article/Links:

You can now log in to Beta.SAM.gov using login.gov. To learn more information, click [here](#) to watch the video.

2019 Compliance Supplement Concerns



Recently, the American Institute of CPAs (AICPA) issued a comment letter to the OMB on the 2019 Compliance Supplement, citing significant concerns about the number of errors that have been identified since the Supplement's issuance, other changes made that lack clarity, and the process that was used to finalize the 2019 Supplement. The AICPA divided their concerns into two categories, concerns needing immediate action and other comments and suggestions for 2020 consideration.

Concerns Needing Immediate Action:

- Errors Noted in the 2019 Supplement Need to be Addressed
- Student Financial Assistance (SFA) Cluster Issues Need Attention
- Application of 6-Requirement Approach and Loans with Continuing Compliance Requirements Need Clarification for 2019
- Burden Reduction Messaging Should be Revised

Other Comments and Suggestions for 2020 Consideration:

- Final Supplement Quality Review Process Needs More Oversight
- OMB Should Consider an Alternative to the 6-Requirement Approach Going Forward
- If 6-Requirement Approach is Retained, Changes are Needed
- Part 4 Introduction Sends Confusing Message on 6-Requirement Approach
- Rotation of Requirements Going Forward Will be Challenging
- Other SFA Cluster Concerns
- Performance and Special Reporting Sections Need Improvement
- Commerce Program Requirement on Corrective Action Precedent Setting

For more information about the issues identified by the AICPA, click [here](#) to read the AICPA's comment letter to OMB.

Whether the OMB will address these matters before the 2019 Single Audits are completed remains uncertain. In the meantime, agencies should review their CFDA's in the Supplement along with the AICPA Comment Letter to identify any programs that might be subject to

More changes/updates are coming to Grants.gov Apps. App users will be able to add, view and edit saved searches and filter searches by opportunity status, funding instrument type, etc. Click [here](#) to read the full post.

What is the OMB and how does it relate to Federal Grants? For more information on how the OMB has worked with the applicant and grantor community, click [here](#).

“Accountability Talks” is a podcast focused on government accountability and features conversations and insights from various government thought leaders. For example, click [here](#) to listen to a GASB podcast.

Important Dates:

September 12, 2019

– Submit Supplementary Information Schedule (SIS) to the Division of Accounts and primary state agencies.

September 19, 2019

– Submit Schedule of Expenditures of Federal Awards (SEFA) to the Division of Accounts.

Next issue:

The next issue of our Newsletter will be in December 2019.

these inconsistencies. Keep in mind that while some requirements are not marked as subject to audit in the Supplement, grantees are still required to comply with these requirements at all times. Additionally, auditors can choose to audit a compliance requirement even though it is not mandated to do so in the Supplement.

Tips on SEFA (Schedule of Expenditures of Federal Awards) & SIS (Supplementary Information Schedule) Reporting



1. As in prior years, payments to subrecipients are required to be reported separately on the SEFA and SIS. For assistance in determining whether a payee should be considered a subrecipient or a contractor (vendor), click [here](#) to access a helpful checklist on the Association of Government Accountants (AGA) website. For helpful tips on these differentiations, please see the 2017 September Grants Newsletter which may be found [here](#).
2. When compiling the SEFA from the TN_GR06 queries, be sure that the end date reported on the SEFA corresponds to the end date on the Notice of Grant Award. Some grants have a window after the official end date of the grant when expenditures can still be coded to that grant. These grants can include that window in the end date pulled by the GR06 queries. **The end date reported on the SEFA and SIS should correspond to the end date on the Notice of Grant Award.**
3. The Supplementary Data Manager (SDM) module of Hyperion will be used again this year to capture the SEFA data. This year, additional files should be attached to the Checklist task in the Close Manager module. Please see the [SEFA instructions](#) for more details.

Implementation of New Unique Entity Identifier Replacing DUNS Number



The U.S. General Services Administration (GSA) recently announced upcoming changes and a timeline related to Unique Entity Identifier/Unique Entity ID (UEI) for Federal awards management in the [Federal Register](#). Currently, the proprietary D-U-N-S® number is used as the unique entity identifier for doing business with the government. Starting in December 2020, the D-U-N-S® number will no longer be the official identifier for doing business with the U.S. Government. GSA is moving to a new, non-proprietary identifier that will be requested in, and assigned by, the System for Award Management ([SAM.gov](#)).

The transition phase is now underway and includes the following:

- The D-U-N-S ® number remains the official identifier for doing business with the U.S. Government.

- Entities continue to register in [SAM.gov](https://sam.gov) using the D-U-N-S ® number assigned by Dun & Bradstreet (D&B).
- Entity uniqueness continues to be validated by D&B as part of the D-U-N-S ® number assignment process.
- GSA publishes updated technical specifications, which include the new SAM-generated unique Entity ID, for interfacing systems.
- GSA develops tools needed to generate Unique Entity IDs.
- GSA develops an interface to new entity validation service provider to determine entity uniqueness.
- GSA supports robust testing of new interfaces with agency systems.
- GSA communicates upcoming changes to stakeholders, sharing more detail as available.

You can find technical specifications for the new UEI through [here](#). Additionally, a video on UEI and related upcoming changes is available on beta SAM.gov, click [here](#) to access the site.

Training Opportunities



Grants Workshop – SEFA & SIS Reporting

A Grants Workshop-SEFA & SIS Reporting will be held on August 27th and September 4th. This Workshop will provide an opportunity for attendees to bring any questions and issues identified while preparing the SEFA & SIS schedules. We will also cover any questions that were addressed in the survey.

The course can be found through ELM in Edison via the following: Navigator>ELM>Self Service>Learning>Find Learning >Type in “SEFA2019.” Please contact a member of the workgroup if you have difficulty registering.

The Workshop is scheduled for the following times and locations:

- Tuesday, August 27, 2019: 8:30-9:30 AM.
- Wednesday, September 4, 2019: 8:30-9:30 AM.
- Location – TN Tower 3rd floor: **Multi-Media Room** on 8/27 and

Conference Room D on 9/4.



Basic Grant Training – Entering Grants

The course can be found through ELM in Edison via the following: Navigator>ELM>Self Service>Learning>Find Learning >type in "GWEG2019." Please contact a member of the workgroup if you have difficulty registering.

The training is scheduled for the following times and location:

- Tuesday, September 10, 2019: 9:00 to 11:00 AM
- Location-Conference Room G.