



ELEMENT #3

Heightened Scrutiny Documentation Assessment Tool – Residential Settings Assisted Care Living Facilities, Residential Habilitation, Supported Living, IBRS

INSTRUCTIONS: In order to assess your agency's compliance with the Home and Community Based Settings (HCBS) Rule, a Heightened Scrutiny Review Team made up of TennCare representative(s) and the reviewing entity (MCO/DIDD) will be conducting an on-site assessment at each identified HCBS Setting (HS element #3). During the on-site assessment a sample of participants will be interviewed to assess their experience in the service setting. Your designated reviewer will contact you to identify your participants in the sample and request the supporting documentation at least 30 days prior to your scheduled on-site visit. If you have any questions, please contact your designated reviewer.

Sample Size (established per setting site):

- Agencies serving 30 or less – 3 people
- Agencies serving 31-60 – 4 people
- Agencies serving 61- 100 – 5 people
- Agencies serving 101 or more – 5% up to a maximum of 15 people

Supporting Documentation:

- Service Notes - documentation supporting utilization of services as identified in the person centered plan for the individual to be interviewed (most recent month only),
- Plan of Care – individual's current plan of care (provided by MCO/ISC/CM)
- Individual Experience Assessment – completed IEA (pulled from Wu-Foo as entered by MCO/ISC/CM)